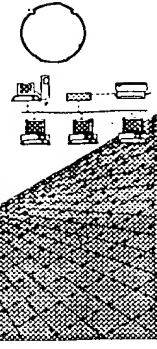


But

5640

BIOTECHNOLOGY
SYSTEMS
BRANCH



RAW SEQUENCE LISTING
ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/763,978
Source: OIP
Date Processed by STIC: 5/16/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:
1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY
FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.
PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)
PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25. Checker Version 3.0 replaced the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows patent attorneys to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:
<http://www.uspto.gov/web/offices/pac/checker>

Raw Sequence Listing Error Summary

ERROR DETECTED SUGGESTED CORRECTION

SERIAL NUMBER: 09/763,978

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 ☐ Wrapped Nucleics The number/text at the end of each line "wrapped" down to the next line.
This may occur if your file was retrieved in a word processor after creating it.
Please adjust your right margin to .3, as this will prevent "wrapping".
- 2 ☐ Wrapped Aminos The amino acid number/text at the end of each line "wrapped " down to the next line.
This may occur if your file was retrieved in a word processor after creating it.
Please adjust your right margin to .3, as this will prevent "wrapping".
- 3 ☐ Incorrect Line Length The rules require that a line not exceed 72 characters in length. This includes spaces.
- 4 ☐ Misaligned Amino Acid Numbering The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs between the numbering. It is recommended to delete any tabs and use spacing between the numbers.
- 5 ☐ Non-ASCII This file was not saved in ASCII (DOS) text, as required by the Sequence Rules.
Please ensure your subsequent submission is saved in ASCII text so that it can be processed.
- 6 ☐ Variable Length Sequence(s) _____ contain n's or Xaa's which represented more than one residue.
As per the rules, each n or Xaa can only represent a single residue.
Please present the maximum number of each residue having variable length and indicate in the (ix) feature section that some may be missing.
- 7 ☐ PatentIn ver. 2.0 "bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequence(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies primarily to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**
- 8 ☐ Skipped Sequences (OLD RULES) Sequence(s) _____ missing. If intentional, please use the following format for each skipped sequence:
(2) INFORMATION FOR SEQ ID NO:X:
(i) SEQUENCE CHARACTERISTICS:(Do not insert any headings under "SEQUENCE CHARACTERISTICS")
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X:
This sequence is intentionally skipped

Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).
- 9 ☐ Skipped Sequences (NEW RULES) Sequence(s) _____ missing. If intentional, please use the following format for each skipped sequence.
<210> sequence id number
<400> sequence id number
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- 10 ☐ Use of n's or Xaa's (NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.
Use of <220> to <223> is MANDATORY if n's or Xaa's are present.
In <220> to <223> section, please explain location of **n** or **Xaa**, and which residue n or Xaa represents
- 11 ☐ Use of "Artificial" (NEW RULES) Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules.
Valid response is Artificial Sequence.
- 12 ☐ Use of "Artificial" (NEW RULES) Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules.
Valid response is Artificial Sequence.
- 13 ☒ PatentIn ver. 2.0 "bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing).
Instead, please use "File Manager" or any other means to copy file to floppy disk.

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/763,978

DATE: 05/16/2001
TIME: 13:29:15

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Output Set: N:\CRF3\05162001\1763978.raw

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-> 2 <141> CURRENT FILING DATE: 2001-02-28
-> 2 <151> PRIOR FILING DATE: 1998-09-02
-> 0 <110> APPLICANT:
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Does Not Comply
Corrected Diskette Needed
see p. 6 for explanation
see p. 5, 6

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/763,978

DATE: 05/16/2001

TIME: 13:29:15

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DATE: 05/16/2001

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RAW SEQUENCE LISTING
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DATE: 05/16/2001
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RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/763,978

DATE: 05/16/2001

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231 <222> LOCATION: (957)
233 <400> SEQUENCE: 8
234 attctagaac atatgtataa gctaaaaaca gtattttact cagatcagta gttatcgtgt 60
235 ctatcagcta taaaaaaaat caactgccag ccaagaactt taaaacttta agctgtgtat 120
236 tatagaaccg ttttgtgtag cattggaata ttgtccattt tgtaagtcac tgtgaatgtt 180
237 cttaattatc agcttgaagg tatttttgta ttaaaaagttg acattgaaga acctaaagtgg 240
238 atgatgggat ttggggccag tagtgaaagt atgtttcctc taaaatattt ccctaaacag 300
239 tggatatacat ggttatttta ttatgagatt tgtatatgtt ctgtgtttct ctgtgaacaa 360
240 tgtttcagtc tctctgtcac catatgtgaag gggaaagcca caaatatagn actacattgc 420
241 acaaaaactaa aattgttaat tacaagaaaa tataggtgct taccttttga aggtttatta 480
242 atacatatgg ttgtcacaaat acgtatatat gataaatggg gtacataaac agatgtttat 540
243 ggtgtataaa tttttctata cccaattaga attatcttcc tgattcttta ttcaataaca 600
244 tgcaatttcc tcttctatgt tctatagtga cagaatgcta acttttctta taccctggca 660
245 gaggacagag gagtctgggc taggatgggg aactgaattt ttgaacgaaa aggaaagaga 720
246 aaggatgnnn nnnnnnnnnn nnnnnnnnnn nnnnnntaat gtttcttagt cattttgatt 780
247 ggccatttga acagtctaca agtttaacgt tatttccagt gaagtaggat ggctgacctt 840
248 gcaatacatg tttcttcaaa agggtaaaaca tgcttttagt acctaaagct aaattttgta 900
249 catttgacat caggggtggt ataagtactg cacttaatac aaagctattt ctcaatngtg 960
250 ttatttttga gacaaatttt tcttcacctt taactctctg ttggtagctt tttgttttgt 1020
251 aaaaattgag agatggcaat gcttatctca accagattat ccatctgcag aattaaggta 1080
252 tgcaactggg aaataaaaga caaatgtctc agtttgcctt tctcaacctt tgagttctta 1140
253 acctttgagt taaaacctag tctaaatagt gggaaatgct tggtttacag taaggttttc 1200
254 ttgggaagga tcttggtttt gtgatctatt tgtgaattaa ggagtagatg ttaaccatta 1260
255 ttttatagat aagtg 1275
257 <210> SEQ ID NO: 9
258 <211> LENGTH: 2479
259 <212> TYPE: DNA
260 <213> ORGANISM: Homo sapiens
262 <400> SEQUENCE: 9
263 gtcataattga acattccaga tacctatcat tactcgatgc tgttgataac agcaagatgg 60
264 ctttgaactc agggtcacca ccagctattg gaccttacta tgaaaacctt ggataccaac 120
265 cggaaaaccc ctatcccgcg cagcccactg tggccccac tgtctacgag gtgcatccgg 180

```

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

<110>
<120>
<140>
<141>
<150>

insert the
mandatory
numeric identifier
and response delete this
~~SEQUENCE LISTING~~

9/763,978 6

☐
<151> 1998-09-02

☐

☐
<160> 15

☐

☐
<170> PatentIn Ver. 2.0

see item 13 on
Error Summary
sheet

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/763,978

DATE: 05/16/2001

TIME: 13:29:16

Input Set : A:\Dex-0043.app

Output Set: N:\CRF3\05162001\I763978.raw

```
:2 M:270 C: Current Application Number differs, Replaced Current Application No
:2 M:271 C: Current Filing Date differs, Replaced Current Filing Date
:2 M:289 W: Identifier Missing or Out-Of-Order, <150> PRIOR APP NO
:0 M:201 W: Mandatory field data missing, APPLICANT NAME
:0 M:201 W: Mandatory field data missing, TITLE INVENTION
:0 M:201 W: Mandatory field data missing, FILE REFERENCE
:176 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:6
:176 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6
:214 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:7
:214 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7
:240 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:8
:240 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8
:246 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:8
:246 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8
:249 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:8
:249 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8
:363 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:12
:363 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12
:367 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:12
:367 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12
:369 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:12
:369 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12
```